



Attorney Docket No. SRC012
Client/Matter No. 80404.0015
Express Mail No. EV035493354US

#5
T.D.

10/22/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jon M. Huppenthal, Thomas R. Seeman, Lee A. Burton

Serial No. 09/932,330

Filed: August 17, 2001

For: SWITCH/NETWORK ADAPTER PORT FOR
CLUSTERED COMPUTERS EMPLOYING A CHAIN OF
MULTI-ADAPTIVE PROCESSORS IN A DUAL IN-LINE
MEMORY MODULE FORMAT

Examiner:

Art Unit: 2154

Confirmation No.: 4801

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

RECEIVED

OCT 16 2002

Technology Center 2100

Pursuant to 37 C.F.R. § 1.97 the Examiner may wish to consider the references listed on the attached Form PTO/SB/08A. In submitting these references for the Examiner's consideration, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. Copies of the references are provided.

Pursuant to 37 C.F.R. § 1.97(c), it is hereby certified that each item in this Information Disclosure Statement was cited in a communication from a foreign patent office (copy enclosed) in counterpart European application, PCT/US02/14574, mailed 26 August 2002, not more than three months prior to the filing of the statement (37 C.F.R. Section 1.97(e)). No petition fee is believed required, however, any fees associated with this communication may be made to Deposit Account No. 50-1123.

Respectfully submitted,

Date: 11 October 2002

William J. Kubida, Reg. No. 29,664

HOGAN & HARTSON

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(719) 448-5909 Tel

(303) 899-7333 Fax



10-15-02

2154

Attorney Docket No. SRC012
Client/Matter No. 80404.0015
Express Mail No. EV035493354US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jon M. Huppenthal, Thomas R. Seeman, Lee A. Burton

Serial No. 09/932,330

Filed: August 17, 2001

For: SWITCH/NETWORK ADAPTER PORT FOR
CLUSTERED COMPUTERS EMPLOYING A CHAIN OF
MULTI-ADAPTIVE PROCESSORS IN A DUAL IN-LINE
MEMORY MODULE FORMAT

Examiner:

Art Unit: 2154

Confirmation No.: 4801

CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the enclosed

1. Information Disclosure Statement,
2. Form PTO/SB/08A, with references,
3. Certificate of Mailing, and
4. Return Card,

RECEIVED
OCT 16 2002
Technology Center 2100

and this Certificate of Mailing by Express Mail relating to the above application, were deposited as "Express Mail", Mailing Label No. EV035493354US with the United States Postal Service, addressed to Assistant Commissioner for Patents, Washington, D.C., 20231, on 11 Oct 2002.

Date: 11 October 2002

Mailer

Date: 11 October 2002

William J. Kubida, Reg. No. 29,664
HOGAN & HARTSON
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax

PTO/SB/08A (10/01) (Substitute for Form 1449A/PTO) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Sheet <u>1</u> of <u>1</u>	ATTY. DOCKET NO. SRC012 Client/Matter N . 80404.0015	APPLICATION NO. 09/932,330
	FIRST NAMED INVENTOR Jon M. Huppenthal, Thomas R. Seeman, Le A. Burton	
	FILING DATE August 17, 2001	ART UNIT 2154

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document No. No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,903,771	05/11/1999	Sgro et al.	Figs 1 & 6, col. 3, lines 30-67, col 4, lines 1-51, col 7, lines 1-27.
		US-6,192,439	02/20/2001	Grunewald et al.	Fig 3, col 3, lines 53-67, col 4, lines 1-64.
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

RECEIVED

OCT 16 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Doc cny code - No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
						YES	NO

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published

EXAMINER SIGNATURE	DATE CONSIDERED
--------------------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.